

# SCHEDULE

## WEDNESDAY, NOVEMBER 15

12:00pm - 4:00pm

### EXHIBITOR SET UP - EXHIBITOR HALL

Exhibitors are welcome to start setting up their tables at noon. There is no pre-selection of table location and each exhibitor will be provided with one skirted table and two chairs. For more information please see the SPONSORS tab on the website

2:00pm - 4:00pm

### EARLY DELEGATE REGISTRATION

## THURSDAY, NOVEMBER 16

8:00am - 8:45am

### MORNING COFFEE, REGISTRATION AND MEET THE SPONSORS

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**Intertrust**

8:45am - 9:00am

### OPENING AND WELCOME

**Sandra Edun-Watler**, CRCA Conference Chair (Cayman)

9:05am - 9:40am

### COMPLIANCE WITHOUT BORDERS

Gone are the days of focusing only on your laws; no longer are problems contained in single jurisdictions. Globalisation, supranational organisations (FATF, OECD, G7, G20), international transparency initiatives (CRS, FATCA, De-risking) and the long arm of powerful nations have erased all national boundaries. Compliance is now without borders. Listen as the issues facing the entire region are discussed, the successes highlighted, how together and without borders we can withstand, survive and prosper!

**Christopher Louard**, Eastern Caribbean Central Bank (St. Kitts)

## THURSDAY, NOVEMBER 16 CONTINUED...

9:45am - 10:45am

### CRS - WHERE DO WE GO FROM HERE AS A REGION?

Can we continue to survive as IFC's or is it time to look to new industries to support our economies?

**Gilbert NMO Morris**, T&C-Canada Foreign Affairs & Trade Working Group (Turks and Caicos)

**Tanya C. McCartney**, Bahamas Financial Services Board (Bahamas)

**Dr. Christopher P. Malcolm**, The University of the West Indies (Jamaica)

**FACILITATOR: Fareda Sands**, BACO (Bahamas)

10:45am - 11:15am

### COFFEE BREAK AND MEET THE SPONSORS

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11:15am - 12:00pm

### ON/OFFSHORE - PART 2: DE-RISKING TO A FAULT - AFTER OBLIVION THEN WHAT?

De-risking is a hot button issue in the Caribbean region. While it is not a new phenomenon, the stakes are higher now than they have been before. Compliance practitioners must now function in a chaotic environment where change is not only constant but oftentimes also irrational. The institutions they serve must be mindful of the difficulties of compliance. Furthermore, given the possible debilitating implications of de-risking they must become more engaged in financial innovation that will enable them to remain in business, serve the best interests of their customers, and assist more general economic development in the jurisdictions where they operate.

**Dr. Christopher P. Malcolm**, The University of the West Indies (Jamaica)

12:05pm - 12:50pm

### ULTIMATE BENEFICIAL OWNERSHIP - "THE UNWRAP"

Ultimate Beneficial Ownership from many perspectives. To what extent do you really need to go to unwrap the ownership in the different global scenarios and company structures? What you need to know from varied regional standards, US, UK and Latam perspectives and how some may try to circumvent the standards.

**Martin Litwak**, Litwak and Partners (Uruguay)

**Julia Shamini Chase**, Gold Leaf Consulting Limited (BVI)

**FACILITATOR: Ulrich de la Paz**, Maduro & Curiel's Bank NV (Curacao)

12:50pm - 2:15pm

### LUNCH

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## THURSDAY, NOVEMBER 16 CONTINUED...

**BREAKOUT SESSIONS** - Select one.

2:15pm - 3:05pm

**HOW SAFE IS YOUR DATA?**

Yikes, we've been hacked. What are the simple countermeasures and risk policies required to slow down and prevent attacks? Learn about response navigation techniques Compliance Professionals need to be guided by to protect their company.

**Micho Schumann**, KPMG (Cayman Islands)

**DNFBPS: HOW DO YOU MOVE INTO THE REGULATED SECTOR?**

As a compliance officer how do you guide your organization through the change in regulatory framework? How can you protect yourself from new methods of doing business online? What do you do during the transition?

**Michelle Martin**, ComplianceAid (USA)

**Rohan Bromfield**, Cayman Islands Monetary Authority (Cayman Islands)

**Alice Adumekwe**, KPMG (Cayman Islands)

**FACILITATOR: Dalia Littlewood**, Kixko Inc. (Barbados)

3:05pm - 3:35pm

**COFFEE BREAK AND MEET THE SPONSORS**

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3:35pm - 4:20pm

**REGIONAL DIAGNOSIS**

The region has been suffering from the negative impact of losing correspondent banking relationships. The region has also been performing assessments for the MER #4. What's the diagnosis and what medication do we need to take to get better?

**Gilbert NMO Morris**, T&C-Canada Foreign Affairs & Trade Working Group (Turks and Caicos)

4:25pm - 5:15pm

**WHY AML FAILURE IS A CHOICE**

AML has never been so important or failure so expensive. Advances in screening and data management technology now invalidate the excuses that have been used in the past to explain AML failures. Drawing on his unparalleled experience of conducting large scale regulatory enquiries around the world, Stephen Platt will examine the common causal factors of AML failure and how emerging technology solutions can optimise AML performance whilst reducing compliance costs.

**Stephen Platt**, KYC Global Technologies (UK)

5:15pm - 5:30pm

**SPONSOR DRAW**

You must be in attendance to win!

5:30pm - 6:45pm

**COCKTAIL RECEPTION**

Join us on the patio for appetizers and refreshments.

Hosted by



MINISTRY OF  
FINANCIAL SERVICES  
& HOME AFFAIRS  
CAYMAN ISLANDS GOVERNMENT

## FRIDAY, NOVEMBER 17

8:45am - 9:30am

### MORNING COFFEE, REGISTRATION AND MEET THE SPONSORS

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9:35am - 9:55am

### CARICOM UPDATE

**Evelyn Wayne**, Director Economic Policy and Development Assistant Secretary,  
CARICOM (Guyana)

10:00am - 10:45am

### FINTECH - HOW TO TRANSITION

How can a compliance officer navigate their way through a world of “fintech”?

**Joseph Campbell**, Navigant Consulting (USA)

**Patrick Hannon**, BakerHostetler (USA)

10:50am - 11:30am

### MY COUNTRY IS #75

And we're not talking about the World Cup. Being 75 out of 305 ain't so great when the closer you get to zero the worse off you are! This according to the corruption index paper 2017. Where do we stand in the Caribbean against the rest of the world and can we fix this?

**David West**, Police Complaints Authority (Trinidad & Tobago)

11:30am - 12:00pm

### COFFEE BREAK AND MEET THE SPONSORS

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12:00pm - 12:45pm

### TRUMP ADMINISTRATION ENFORCEMENT POLICIES AND DEVELOPMENTS

A review of how recent changes and upcoming changes will or are impacting the Caribbean and our business relationships with the US and its new administration.

**Alma Angotti**, Navigant Consulting (USA)

**John Carney**, BakerHostetler (USA)

**John R. Lehrer II**, BakerHostetler (USA)

## BREAKOUT SESSIONS - Select one.

12:50pm - 1:35pm

### TAKEN... THE HUMAN TRAFFICKING DEBACLE

This is just wrong and we know it. It's always been close to home. But what are some of the financial indicators that can help us detect the problem and start really trying to put an end to this.

**Glenna Smith**, Smith Compliance Consulting Inc. (Barbados)

### CRISIS MANAGEMENT

If you fail to plan, you plan to fail! Do you know what to do in the event of a crisis? Are you prepared to act on the unexpected? What will you do if your worst case scenario becomes your reality?

**Gamal Newry**, Preventative Measures (Bahamas)

1:40pm - 3:05pm

### LUNCH

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## FRIDAY, NOVEMBER 17 CONTINUED...

3:05pm - 3:55pm

### THE FUTURE IS HERE: ARTIFICIAL INTELLIGENCE AND COMPLIANCE

Artificial intelligence and machine learning are revolutionizing compliance around the world. Machine learning is a field in artificial intelligence that utilizes algorithms to extract knowledge from historical data. This process transforms traditional transaction monitoring by predicting and prioritizing suspicious activity review and focusing resources on transactions warranting the most attention. This break-out session will explain the basics of AI and show how “first in class” compliance departments are using it to make the best use of data, enhance institutional responsiveness to changes in activity, and decrease false positive and increase true positive rates.

**Alma Angotti**, Navigant Consulting (USA)

**Jianping Zhang**, Navigant Consulting (USA)

4:00pm - 4:55pm

### MEET THE REGULATORS

Regulators face different challenges in different jurisdictions - but do they really? Hear from regulators from around the region... what initiatives are on their front burners?

**Rohan Bromfield**, Cayman Islands Monetary Authority (Cayman Islands)

**Simone Martin**, BVI Financial Services Commission (BVI)

**Heather Smith**, Cayman Islands Monetary Authority (Cayman Islands)

**FACILITATOR: Sandra Edun-Watler**, Walkers (Cayman)

5:00pm - 5:40pm

### COMPLIANCE OFFICER UNDER ATTACK

What do you do when your job becomes your worst enemy? Is personal liability, lack of resources and fatigue causing compliance officers to walk away from the profession?

**Cherise Cox-Nottage**, UBS (Bahamas)

5:40pm - 5:50pm

### CLOSING

See you in Barbados for the 2018 CRCA Conference.